# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#### PATENT APPLICATION

Applicants: PETERS, Michiel Gerard;

VAN DER TOL, Johannes Jacobus Gerardus Maria

Case: **PTT-130 (402559US)** 

International Application No.: PCT/EP00/06403

#3

International Filing Date: 05 July 2000

Priority Date Claimed: 12 July 1999

Title: OPTICAL TRANSMISSION NETWORK HAVING A PROTECTION

CONFIGURATION

COMMISSIONER FOR PATENTS **BOX PCT** Washington, D. C. 20231

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#### DISCLOSURE STATEMENT

The applicants respectfully request that the following references be considered in the examination of the above-identified application. A copy of each reference is enclosed. If any of these references were uncovered by an international or foreign search as part of a counterpart application filed by the applicants, then a copy of the search report is also enclosed.

## European Published Patent Application

Publication No.

Date Published

AA. 0 859 484

August 19, 1998

### PCT Published Patent Application

Publication No.

Date Published

AB. WO 97/09803

March 13, 1997

#### Publications

AC. Arecco et al., "A Transparent, All-Optical, Metropolitan Network Experiment in a Field Environment: The "PROMETEO" Self-Healing Ring", <u>Journal of Lightwave Technology</u>, Vol. 15, No. 12, December 1997 (© 1997 IEEE), pps. 2206-2213.

#### REMARKS

Under rule 37 C.F.R. 1.98(a) (effective March 16, 1992), since the above-cited references are in the English language, the applicants submit that no specific comments are necessary.

The applicants have also attached a completed modified Form PTO/SB08A/B hereto.

Respectfully submitted,

14 December 2001

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## \*\*\*EXPRESS MAIL CERTIFICATION\*\*\*

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|                                   |   |    |              | Application Number    |                               |  |
| INFORMATION DISCLOSURE            |   |    | SCLOSURE     | Filing Date           |                               |  |
| STATEMENT BY APPLICANT            |   |    |              | First Named Inventor  | PETERS, Michiel Gerard et al. |  |
|                                   |   |    |              | Group Art Unit        |                               |  |
| (use as many sheets as necessary) |   |    | s necessary) | Examiner Name         |                               |  |
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| U.S. PATENT DOCUMENTS |              |        |                                   |                               |  |  |  |
|-----------------------|--------------|--------|-----------------------------------|-------------------------------|--|--|--|
| Examiner              | Cite<br>No.1 |        | t Document Kind Code <sup>2</sup> | Name of Patentee or Applicant | Date of Publication of<br>Cited Document | Pages, Columns, Lines,<br>Where Relevant                 |  |
| Initials              |              | Number | (if known)                        | of Cited Document             | MM-DD-YYYY                               | Passages or Relevant<br>Figures Appear or Class/Subclass |  |
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| Examiner<br>Initials* |                          | F                   | oreign Patent Docu      | ment                                 | Name of Patentee or         | Date of Publication of         | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear |    |  |  |
|                       |                          | Office <sup>3</sup> | Number <sup>4</sup>     | Kind Code <sup>5</sup><br>(if known) | Applicant of Cited Document | Cited Document<br>MM-DD-YYYY   |  | 76 |  |  |
| <u> </u>              | AA.<br>AB.               | EP<br>WO            | 0 859 484<br>WO 97/0980 | 3                                    |                             | Aug. 19, 1998<br>Mar. 13, 1997 |  | +  |  |  |
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>8</sup> Applicant is to place a check mark here if English language Translation is attached.



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|   |                        |       |                  | Application Number     |                               |  |
| INF                                     | ORMATION               | I D   | ISCLOSURE        | Filing Date            |                               |  |
| STA                                     | TEMENT F               | 3Y    | APPLICANT        | First Named Inventor   | PETERS, Michiel Gerard et al. |  |
| • |                        | •     | 7.11 - 2107.1111 | Group Art Unit         |                               |  |
|   | (use as many si        | heets | s as necessary)  | Examiner Name          |                               |  |
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|                       | AC.   | Arecco et al., "A Transparent, All-Optical, Metropolitan Network Experiment in a Field Environment: The "PROMETEO" Self-Healing Ring", Journal of Lightwave Technology, Vol. 15, No. 12, December 1997, (© 1997 IEEE), pps. 2206-2213.                          |    |  |  |  |
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